| | _* | 65 - 1-1 | | | | | | | |
|-----------|-------------|---|---|--|--|--|--|--|--|
| FORM | PTO-139 | 0 (Modified) U.S. PATENT AND TRADEM | ARK OFFICE; U.S. DEPARTMENT OF COMMERCE | ATTORNEY'S DOCKET NUMBER | | | | | |
| (1.27. | | RANSMITTAL LETTER | 3129 | | | | | | |
| | | DESIGNATED/ELECTE | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) | | | | | | |
| | CO | NCERNING A SUBMISS | 10/511949 | | | | | | |
| INTE | | IONAL APPLICATION NO. | PRIORITY DATE CLAIMED | | | | | | |
| | | PCT/DE 03/04072 | INTERNATIONAL FILING DATE DECEMBER 10, 2003 | APRIL 1, 2003 | | | | | |
| | | NVENTION G DEVICE FOR AN ELECT | TRIC MOTOR | | | | | | |
| | | T(S) FOR DO/EO/US HABELE | | | | | | | |
| Appl | icant l | nerewith submits to the United Star | tes Designated/Elected Office (DO/EO/US) | the following items and other information: | | | | | |
| 1. | \boxtimes | This is a FIRST submission of it | ems concerning a submission under 35 U.S. | .C. 371. | | | | | |
| 2. | | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | |
| 3. | Ø | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. | | | | | | | |
| 4. | \boxtimes | The US has been elected (Article 31). | | | | | | | |
| 5. | \boxtimes | A copy of the International Appl | ication as filed (35 U.S.C. 371 (c) (2)) | | | | | | |
| | | a. is attached hereto (requ | ired only if not communicated by the Intern | national Bureau). | | | | | |
| | | b. 🛛 has been communicated | l by the International Bureau. | | | | | | |
| | | c. \square is not required, as the a | pplication was filed in the United States Rec | ceiving Office (RO/US). | | | | | |
| 6. | \boxtimes | An English language translation | of the International Application as filed (35 | U.S.C. 371(c)(2)). | | | | | |
| | | a. 🛛 is attached hereto. | | | | | | | |
| | | | omitted under 35 U.S.C. 154(d)(4). | | | | | | |
| 7. | | | International Application under PCT Article | | | | | | |
| | | | uired only if not communicated by the Inter | mational Bureau). | | | | | |
| | | | ed by the International Bureau. | | | | | | |
| | | | wever, the time limit for making such amer | idments has NOT expired. | | | | | |
| | | d. have not been made and | | 2 A adia la 10 (25 H C C 271(-)(2)) | | | | | |
| 8. | | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | |
| 9. 10. | | An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). An English language translation of the annexes to the International Preliminary Examination Report under PCT | | | | | | | |
| 10. | | Article 36 (35 U.S.C. 371 (c)(5)) | | ary Examination Report under 1 C 1 | | | | | |
| 11. | | A copy of the International Preliminary Examination Report (PCT/IPEA/409). | | | | | | | |
| 12. | \boxtimes | A copy of the International Search | ch Report (PCT/ISA/210). | | | | | | |
| I | tems 1 | 13 to 23 below concern document | t(s) or information included: | | | | | | |
| 13. | \boxtimes | An Information Disclosure State | ement under 37 CFR 1.97 and 1.98. | | | | | | |
| 14. | \boxtimes | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | |
| 15. | \boxtimes | A FIRST preliminary amendment. | | | | | | | |
| 16. | | A SECOND or SUBSEQUENT preliminary amendment. | | | | | | | |
| 17. | | A substitute specification. | | | | | | | |
| 18. | | A power of attorney and/or change of address letter. | | | | | | | |
| 19. | | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. | | | | | | | |
| 20. | | •• | | | | | | | |
| 21. | | A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). | | | | | | | |
| 22. | Ø | Express Mail Label No. <u>EV 260998451 US</u> | | | | | | | |
| 23. | | Other items or information: | | | | | | | |
| 1 | | | | | | | | | |
| | | | | | | | | | |

| 24. The following fees are submitted:. BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): ⊠ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO | U.S. APPLICATION | NO (if known, see 37 CFR 1.5) / 511 9 49 | INTERNATIONAL APPLICAT PCT/DE 03/040 | ATTORNEY'S DOCKET NUMBER 3129 | | | | | | |
|--|--|--|---|---|------------------------------|----------------|--|--|--|--|
| BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report not prepared by the EPO or JPO | 24. The fo | llowing fees are submitted:. | | | CALCULATION | S PTO USE ONLY | | | | |
| □ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO | BASIC NATIONA Neither inte internationa | AL FEE (37 CFR 1.492(a)(1)-(5) mational preliminary examination il search fee (37 CFR 1.445(a)(2)) | | | | | | | | |
| but international search fee (37 CFR 1.445(a)(2)) paid to USPTO | ☐ Internationa | al preliminary examination fee (37 | | | | | | | | |
| but all claims did not satisfy provisions of PCT Article 33(1)-(4) | ☐ Internationa but internati | al preliminary examination fee (37 ional search fee (37 CFR 1.445(a) |) \$790 . 00 | | | | | | | |
| and all claims satisfied provisions of PCT Article 33(1)-(4) | ☐ Internationa but all clain | al preliminary examination fee (37 as did not satisfy provisions of PC | | | | | | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)). CLAIMS NUMBER FILED NUMBER EXTRA RATE Total claims 11 - 20 = 0 x \$18.00 \$0.00 Independent claims 1 - 3 = 0 x \$88.00 \$0.00 Multiple Dependent Claims (check if applicable). TOTAL OF ABOVE CALCULATIONS = \$1,090.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. SUBTOTAL = \$1,090.00 Processing fee of \$130.00 for furnishing the English translation later than 20 30 | ☐ Internationa and all clair | al preliminary examination fee (37 ns satisfied provisions of PCT Art | | | | | | | | |
| months from the earliest claimed priority date (37 CFR 1.492(e)). CLAIMS NUMBER FILED NUMBER EXTRA RATE Total claims 11 - 20 = 0 | | ENTER APPROPRI | \$1,090.00 | | | | | | | |
| Total claims 11 - 20 = 0 | Surcharge of \$130.0 months from the ea | 00 for furnishing the oath or declarisest claimed priority date (37 C | FR 1.492(e)). | | \$0.00 | | | | | |
| Independent claims 1 - 3 = 0 x \$88.00 \$0.00 Multiple Dependent Claims (check if applicable). □ \$0.00 TOTAL OF ABOVE CALCULATIONS = \$1,090.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. \$0.00 SUBTOTAL = \$1,090.00 Processing fee of \$130.00 for furnishing the English translation later than □ 20 □ 30 | CLAIMS | NUMBER FILED | | | 90.00 | | | | | |
| Multiple Dependent Claims (check if applicable). TOTAL OF ABOVE CALCULATIONS = \$1,090.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. SUBTOTAL = \$1,090.00 Processing fee of \$130.00 for furnishing the English translation later than | Total claims | | | | | | | | | |
| TOTAL OF ABOVE CALCULATIONS = \$1,090.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. SUBTOTAL = \$1,090.00 Processing fee of \$130.00 for furnishing the English translation later than 20 30 | | · | 0 | | | | | | | |
| Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. SUBTOTAL = \$1,090.00 Processing fee of \$130.00 for furnishing the English translation later than | Multiple Dependen | | A ROVE CALCULAT | | | | | | | |
| Processing fee of \$130.00 for furnishing the English translation later than \(\simeg 20 \square 30 \) | ☐ Applicant clai | Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are | | | | | | | | |
| | | | SUB | TOTAL = | \$1,090.00 | | | | | |
| months from the earnest claimed priority date (57 of R 1.472(1)). | Processing fee of \$ months from the ea | 130.00 for furnishing the English rliest claimed priority date (37 C | \$0.00 | | | | | | | |
| TOTAL NATIONAL FEE = \$1,090.00 | | | TOTAL NATIONAL | LFEE = | \$1,090.00 | | | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). | Fee for recording the accompanied by an | he enclosed assignment (37 CFR appropriate cover sheet (37 CFR | \$40.00 | | | | | | | |
| TOTAL FEES ENCLOSED = \$1,130.00 | | | | | | | | | | |
| Amount to be: \$ refunded | - | | | | | \$ | | | | |
| charged \$ | | · | | | charged | \$ | | | | |
| a. A check in the amount of to cover the above fees is enclosed. | a. 🗌 Ac | heck in the amount of | to cover the above fee | s is enclosed. | | | | | | |
| b. Please charge my Deposit Account No. 19-4675 in the amount of \$1,130.00 to cover the above fees. | | | | | | | | | | |
| c. The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No19-4675 | | | | | | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | NOTE: Where an 1.137(a) or (b)) m | n appropriate time limit under 3 ust be filed and granted to resto | 7 CFR 1.494 or 1.495 has not re the International Applicatio | been met, a petiti on to pending sta | ion to revive (37 CF tus. | R | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | • | | | | | | |
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| 103 EAST NECK ROAD | 103 EAST NECK | K ROAD | SIGNATURE | | | | | | | |
| HUNTINGTON, NY 11743 MICHAEL J. STRIKER | HUNTINGTON, | NY 11743 | MICHAEL J | HAEL J. STRIKER | | | | | | |
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| OCTOBER 21, 2004 | | | 21, 2004 | | | | | | | |
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